

Response To Notice To File Missing Parts Of Application

Filing Date Granted (PTO-1533)(Small Entity)

Docket No.

1011-0057

In Re Application Of: Peter David Jenkis et al.

JUL 19 2005

Application No.

10/502,230

Filing Date

July 21, 2004

Examiner

N/A

Customer No.

26568

Group Art Unit

N/A

Confirmation No.

N/A

Invention: CATERPILLAR TRACTION APPARATUS

Mail Stop Missing PartsCOMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

6/17/2005

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
- ☐ is/are attached.
- ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
1011-0057

In Re Application Of:

Peter David Jenkins et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/502,230	July 21, 2004	N/A	26568	N/A	N/A

Invention: **CATERPILLAR TRACTION APPARATUS**

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

☒ Completion of application fees as calculated below:

- | | |
|---|----------------|
| <input type="checkbox"/> Utility application basic fee | _____ |
| <input type="checkbox"/> Design application basic fee | _____ |
| <input type="checkbox"/> Search Fee | \$0.00 |
| <input type="checkbox"/> Examination Fee | \$0.00 |
| <input type="checkbox"/> Total number of independent claims = _____ | _____ |
| <input type="checkbox"/> Total number of claims = _____ | _____ |
| <input type="checkbox"/> Multiple dependent claims | _____ |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | \$65.00 |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor | _____ |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification | _____ |
| <input type="checkbox"/> Fee for processing and retention of application | _____ |

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

07/22/2005 ATRAH1 00000107 10502230

01 FC:2617

65.00 DP

Total fees due **\$65.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
1011-0057

In Re Application Of: Peter David Jenkis et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/502,230	July 21, 2004	N/A	26568	N/A	N/A

Invention: CATERPILLAR TRACTION APPARATUS

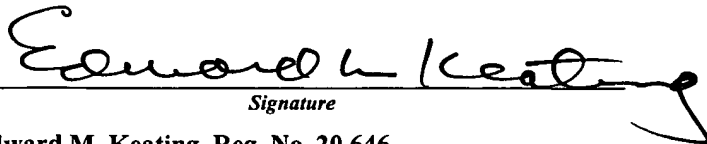
TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

The fee of \$65.00 is to be paid as follows:

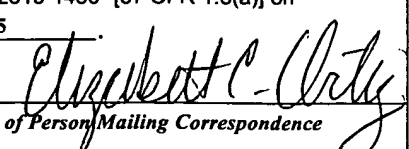
- ☒ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1039
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-1039
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

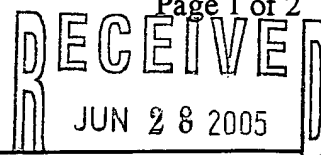

Signature

Edward M. Keating, Reg. No. 20,646
Cook, Alex, McFarron, Manzo, Cummings
& Mehler, Ltd.
200 W. Adams Street
Suite 2850
Chicago, IL 60606
Tel (312) 236-8500
Fax (312) 236-8176

Dated: July 15, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on	
7/15/2005	(Date)
	
Signature of Person Mailing Correspondence	
Elizabeth C. Ortiz	
Typed or Printed Name of Person Mailing Correspondence	

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS, A. MCFARRON,
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/502,230	Peter David Jenkins	1011-0057

INTERNATIONAL APPLICATION NO.
PCT/GB02/00273

Cook Alex McFarron Manzo Cummings & Mehler
 200 W. Adams Street
 Suite 2850
 Chicago, IL 60606

I.A. FILING DATE	PRIORITY DATE
01/24/2003	01/26/2002

CONFIRMATION NO. 1496
 371 FORMALITIES LETTER



OC000000016317191

Date Mailed: 06/17/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 07/21/2004
- Copy of the International Search Report filed on 07/21/2004
- Copy of IPE Report filed on 07/21/2004
- Preliminary Amendments filed on 07/21/2004
- Information Disclosure Statements filed on 07/21/2004
- U.S. Basic National Fees filed on 07/21/2004
- Priority Documents filed on 07/21/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE

DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/502,230	PCT/GB02/00273	1011-0057

FORM PCT/DO/EO/905 (371 Formalities Notice)